

Dentons Webinar: China Company US Listings and Capital Markets Access

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In this one-hour webinar, Dentons US partners reviewed recent legislative and regulatory actions by the United States Congress, Securities & Exchange Commission, and US based exchanges which directly impact current China Company Listings and Future IPOs and discussed exchange, regulatory enforcement and class actions related to China Company Listings.

Topics discussed:

- China Company US Listings and Market Access - Brief History of Audit Issues and US Exchange Oversight
- US Senate and House Legislation
- Recent US Securities and Exchange Guidance and Likely regulations
- US Public Company Accounting Oversight Board (PCAOB) Responsibilities
- The Exchange Listing and Delisting Mechanisms
- Securities and Exchange Commission and Department of Justice Oversight and Enforcement Actions
- The Effect of Executive Order 13959 on Purchase, Sale and Retention of Securities
- Civil Class Actions-Underwriters, Issuers and Executives
- Prediction: The Biden Administration Approach - Deals & Corporate Governance and Markets Oversight & Enforcement

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