

# Top five reasons for rejection of HKEX listing applications – and how to avoid them

DENTONS

June 17, 2020

Since 2013, the Stock Exchange of Hong Kong Limited (the “**Exchange**”) has been publishing decisions on reasons for rejection of listing applications to provide greater transparency to the market. Prior to that, the Exchange published selected rejection letters or listing decisions, but they did not cover every rejected application. Based on these published letters and decisions, we have quantitatively compiled the top reasons for rejection. These serve as a guidance to listing applicants and sponsors alike on what the real issues are when handling listing applications, and as a more in-depth look at the Exchange’s stance towards certain issues.

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This article was first published on *Hong Kong Lawyer*.

## Your Key Contacts



**Enoch Wong**

Partner, Hong Kong

D +852 2533 3673

[enoch.wong@dentons.com](mailto:enoch.wong@dentons.com)